

Council Business Meeting

December 18, 2018

Agenda Item	FEMA Fire Prevention and Safety Grant	
From	Ralph Sartain	Division Chief Fire and Life Safety
Contact	ralph.sartain@ashland.or.us	541-552-2229

SUMMARY

The application period for the 2019 FEMA Fire Prevention and Safety Grant (FP&S) opened on November 12, 2018 and will close on December 21, 2018. Ashland Fire & Rescue is requesting approval to submit a grant application during this process. The application is to replace non-conforming 5-inch Fire Department Connection's (FDC) with 2.5-inch Siamese FDC's on commercial and residential buildings throughout the city which were outfitted with these connections.

POLICIES, PLANS & GOALS SUPPORTED

4. City Council Goals and Objectives: Support Innovative Programs that protect the community
23. City Council Goals and Objectives: Cultivate external funding opportunities

PREVIOUS COUNCIL ACTION

Approved the grant Application in 2017. The city did not receive the grant.

BACKGROUND AND ADDITIONAL INFORMATION

The City had authorized the installation of 5-inch connections to FDC's on 58 sprinkler systems in the City of Ashland. This is a non-conforming appliance in the fire code. The Fire Department has adapted by creating its own connections for this anomaly, however assisting fire agencies will not have a connection to attach to these FDC's thereby reducing time to flow water to the sprinkler systems upon their arrival. This grant will pay to remove the non-conforming FDC connections and replace them with conforming 2.5-inch Siamese FDC's, as well as locking FDC caps which will keep unwanted debris from being placed into the sprinkler connections.

The Department of Homeland Security, through FEMA, fund the Assistance to Firefighter Grants (AFG) and FP&S grant programs that have been in place for several years. These grant programs give fire departments an opportunity to acquire equipment, personnel, and training that they would otherwise not be able to fund. Ashland Fire & Rescue has been awarded a number of grants over the past several years. This year Ashland Fire & Rescue wishes to apply for the following grant:

\$65,018 FEMA Fire Prevention and Safety Grant to fund this proposed program.

FISCAL IMPACTS

There is no cost for submission of the application. If approved, these grants typically require the fire department receiving the award fund 5% of the program cost. If we are awarded a grant(s), a council communication will be submitted for consideration.

The cost for this \$65,018 Grant to the city is \$3096. The funds for this match are currently in the operating budget of the fire department.

STAFF RECOMMENDATION

Staff recommends Council approve the grant funding applications.

ACTIONS, OPTIONS & POTENTIAL MOTIONS

I move to approve the grant funding applications from Ashland Fire & Rescue to FEMA for the Fire Prevention and Safety Grant programs.

REFERENCES & ATTACHMENTS

Attachment 1: FEMA Fire Prevention and Safety Grant Application

DEPARTMENT OF HOMELAND SECURITY
Federal Emergency Management Agency
Fire Prevention and Safety

OMB No.: 1660-0054
Expiration Date: August, 31 2019

PAPERWORK BURDEN DISCLOSURE NOTICE

Public reporting burden for this data collection is estimated to average 2.5 hours per response for FEMA Form 080-0-3 "Fire Prevention and Safety". The burden estimate includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and submitting this form. This collection of information is required to obtain or retain benefits. You are not required to respond to this collection of information unless a valid OMB control number is displayed on this form. Send comments regarding the accuracy of the burden estimate and any suggestions for reducing the burden to: Information Collections Management, Department of Homeland Security, Federal Emergency Management Agency, 500 C Street, SW., Washington, DC 20472-3100, Paperwork Reduction Project (1660-0054) NOTE: Do not send your completed form to this address.

Applicant's Acknowledgements

- * I certify the DUNS number in this application is our only DUNS number and we have confirmed it is active in SAM.gov as the correct number.
- * As required per 2 CFR § 25, I certify that prior to submission of this application I have checked the DUNS number listed in this application against the SAM.gov website and it is valid and active at time of submission.
- * I certify that the applicant organization has consulted the appropriate Notice of Funding Opportunity and that all requested activities are programmatically allowable, technically feasible and can be completed within the award's Period of Performance (POP).
- * I certify that the applicant organization is aware that this application period is open from 11/12 to 12/21/2018 and will close at 5 PM ET; further that the applicant organization is aware that once an application is submitted, even if the application period is still open, a submitted application cannot be changed or released back to the applicant for modification.
- * I certify that the applicant organization is aware that it is solely the applicant organization's responsibility to ensure that all activities funded by this award(s) comply with Federal Environmental planning and Historic Preservation (EHP) regulations, laws, and Executive Orders as applicable. The EHP Screening Form designed to initiate and facilitate the EHP Review is available at: http://www.fema.gov/media-library-data/1431970163011-80ce3cd907072a91295b1627c56d8fd2/gpd_ehp_screening_form_51815.pdf
- * I certify that the applicant organization is aware that the applicant organization is ultimately responsible for the accuracy of all application information submitted. Regardless of the applicant's intent, the submission of information that is false or misleading may result in actions by FEMA that include, but are not limited to: the submitted application not being considered for award, an existing award being locked pending investigation, or referral to the Office of the Inspector General.

Signed by **Mike D'Orazi** on **2018-12-04 00:19:00.0**

Overview

* Are you a member, or are you currently involved in the management of the fire department or organization applying for this grant with this application?	
Yes, I am a member/officer/employee of this applicant	

If you answered "No", please **complete** the information below. If you answered "Yes", please skip the Preparer Information section.

Note: Fields marked with an * are required.

Preparer Information	
Preparer's Name	
Address 1	
Address 2	
City	
State	
Zip	Need help for ZIP+4?
Primary Phone	Ext. Type Select
Email	

In the space below, please list the person your organization has selected to be the **Primary Point of Contact** for this grant. This should be an officer or member of the fire department or an employee of the organization applying for the grant that will see this grant through completion and has the authority to make decisions on and to act upon this grant application.

The Primary Contact, as listed below, is the person for which all exchanges of information will be made relative to the application; all information provided must be specific to the contact listed. The Primary Contact must be an employee of the fire department or organization applying for the grant and shall not be a grant writer or a non-employee of the fire department or organization.

In addition to the Primary Contact information, you will be asked to provide two (2) Alternate Points of Contact on the next page. The Alternate contacts must be familiar with the application and should be able to answer any questions relative to this application in the event that Primary Point of Contact is unavailable. When you are finished, click the Save and Continue button below.

Reminder: Please list only phone numbers and email addresses where we can get in *direct contact* with the respective point of contact(s). If this contact changes at any time during the period of performance, please update this information.

Note: Fields marked with an * are required.

Primary Point of Contact	
* Title	Chief
Prefix	N/A
* First Name	Mike
Middle Initial	
* Last Name	D'Orazi
* Primary Phone	5415522217 Ext. Type work
* Secondary Phone	5107757001 Ext. Type cell
Optional Phone	Ext. Type Select
Fax	5414885318
* Email	michael.dorazi@ashland.or.us
* Is there a grant-writing fee associated with the preparation of this request? This fee must be specifically identified and listed in the application "Request Details" section as a budget line item in	No

order to be eligible for reimbursement.

Fees for grant writers may be included as a pre-award or pre-application expenditure. However, fees payable on a contingency basis are not an eligible expense. For grant writer fees to be eligible as a pre-award expenditure they must be paid prior to award, (i.e., paid within 60 days of the end of the application period).

If you answered "yes" above, what is the fee? \$

FEMA Form 080-0-3

Contact Information

Alternate Contact 1 Information	
* Title	Division Chief
Prefix	N/A
* First Name	Ralph
Middle Initial	E
* Last Name	Sartain
* Primary Phone	5415522229 Ext. Type work
* Secondary Phone	5413018872 Ext. Type cell
Optional Phone	Ext. Type Select
Fax	
* Email	ralph.sartain@ashland.or.us

Alternate Contact 2 Information	
* Title	Office Administrator
Prefix	N/A
* First Name	Emily
Middle Initial	
* Last Name	Matlock
* Primary Phone	5415522216 Ext. Type cell
* Secondary Phone	5414822770 Ext. Type work
Optional Phone	Ext. Type Select
Fax	
* Email	emily.matlock@ashland.or.us

FEMA Form 080-0-3

Applicant Information

EMW-2018-FP-00035

Originally submitted on 12/03/2018 by David Shepherd (Userid: firehousebob)

Contact Information:

Address: 455 Siskiyou Blvd.
 City: Ashland
 State: Oregon
 Zip: 97520
 Day Phone: 5414822770
 Evening Phone: 5415522219
 Cell Phone: 5417784680
 Email: shepherd@ashland.or.us

Application number is EMW-2018-FP-00035

* Organization Name	Ashland Fire & Rescue										
* Are you a Fire Department?	Yes										
* Type of Applicant	Fire Department										
If "Other", please enter the type of Applicant											
What kind of Fire Department do you represent?	All Paid/Career										
If you answered "Combination" above, what is the percentage of career members in your organization?											
* Are you a non-fire based EMS?	No										
* Type of community served?	Suburban										
SAM.gov (System For Award Management)											
* What is the legal name of your Entity as it appears in SAM.gov? Note: This information must match your SAM.gov profile if your organization is using the DUNS number of your Jurisdiction.	Ashland Fire & Rescue										
* What is the legal business address of your Entity as it appears in SAM.gov ? Note: This information must match your SAM.gov profile if your organization is using the DUNS number of your Jurisdiction.											
<table border="1"> <tr> <td>* Mailing Address 1</td> <td>20 East Main ST</td> </tr> <tr> <td>Mailing Address 2</td> <td></td> </tr> <tr> <td>* City</td> <td>Ashland</td> </tr> <tr> <td>* State</td> <td>Oregon</td> </tr> <tr> <td>* Zip</td> <td>97520 - 1850 Need help for ZIP+4?</td> </tr> </table>		* Mailing Address 1	20 East Main ST	Mailing Address 2		* City	Ashland	* State	Oregon	* Zip	97520 - 1850 Need help for ZIP+4?
* Mailing Address 1	20 East Main ST										
Mailing Address 2											
* City	Ashland										
* State	Oregon										
* Zip	97520 - 1850 Need help for ZIP+4?										
* Employer Identification Number (i.e. 12-3456789) Note: This information must match your SAM.gov profile.	93-6002117										
* Is your organization using the DUNS number of your Jurisdiction?	Yes										
* I certify that my organization is authorized to use the DUNS number of my Jurisdiction provided in this application. (Required if you select "Yes" above)	<input checked="" type="checkbox"/>										
* What is your 9 digit DUNS number ?	076395508 (call 1-866-705-5711 to get a DUNS number)										
If you were issued a 4-digit number (DUNS plus 4) by your Jurisdiction in addition to your 9 digit number please enter it here. Note: This is only required if you are using your											

Jurisdiction's DUNS number and have a separate bank account from your Jurisdiction. Leave the field blank if you are using your Jurisdiction's bank account or have your own [DUNS number](#) and bank account separate from your Jurisdiction.

* Is your [DUNS Number](#) registered in [SAM.gov](#) (System for Award Management previously CCR.gov)?

Yes

* I certify that my organization/entity is registered and active at [SAM.gov](#) and registration will be renewed annually in compliance with Federal regulations. I acknowledge that the information submitted in this application is accurate, current and consistent with my organization's/entity's [SAM.gov](#) record.



* Please describe your organization and/or community that you serve. **4000 characters**

Ashland Fire & Rescue is staffed with 30 career line Firefighter/Paramedics and 4 chief officers. We provide response for all types of emergencies including fire suppression, ALS ambulance transport, HAZMAT, vehicle extrication, mass casualty incidents, conflagrations and technical rescue (confined space, trench, and rope). The Department uses 3 front line ambulances to cover our 650 square mile ambulance service area. We run 2 engines staffed and equipped for advanced life support and technical rescue services, one of which is cross staffed with a front-line ambulance. In the event a given day's staffing falls to the minimum allowed under our standards of coverage (8/10) the second engine is taken out of service until the medical call is resolved. Overall, AF&R responds to approximately 4,200 calls for service, a number which has increased 14% since 2014. Medical responses alone have shot up 23.3% over that same span of time. The City of Ashland is located alongside Interstate 5 in Jackson County Oregon, 14 miles north of the California border. Ashland is a small community with 21,639 permanent residents (2016 Census Dept. estimate), many of them retirees. An additional 5,300 students attend Southern Oregon University. Visitors to Ashland swell our population year round, drawn by skiing, hiking, theaters, festivals and popular holiday celebrations. We have our own community hospital, an active regional airport and the world's only fully dedicated wildlife forensics laboratory. This facility serves law enforcement agencies worldwide as it combats poaching, disease and contraband trafficking across 6 continents. Our economy leans heavily on the large volume of tourists drawn by the Oregon Shakespeare Festival, which boasts multiple large theaters in our historic downtown. It draws an annual attendance of 390,000 in the spring and summer months - a figure nearly double the population of Jackson County. Tourists are served by numerous multistory hotels, restaurants and other service-oriented businesses which constitute the heart of our local economy. Two main freight and transportation arterials (Interstate 5 and Pacific Northwest Rail) run through our community in close proximity to commercial and residential neighborhoods. I5 has the distinction of being the main interstate/military highway for the entire west coast region. Oregon is particularly prone to wildfire and as a consequence we are active participants in task forces and strike teams organized to battle conflagrations. Ashland was the recipient of this assistance when it confronted fires in and around our wildland/urban interface in 2009 and 2010. The Siskiyou and Oak Knoll fires combined to burn 12 homes and 204 acres on the east side of town before uncharacteristic wind shifts and firefighters' herculean efforts saved the east side of our City from unthinkable

	<p>losses. More recently we were honored to be among the first Oregon task forces sent to Napa and Santa Rosa in the midst of the firestorms plaguing California in 2017, and again for the Camp fire in 2018. This was the first time in living memory Oregon departments have been allowed to cross the border in force in support of our southern neighbors. Through all of this our community struggles to balance a lagging economy with the need to fund important services. Our City suffers higher unemployment, lower labor force participation and higher rates of uninsured residents than the State at large and has seen a major influx of permanent homeless residents in recent years. This has been a driver of ambulance call volume increases alongside the more than 20% of our population over the age of 65, owing to a decades long trend of retiree migration north from California. Ashland Fire and Rescue takes great pride in delivering high quality emergency services to our community and we thank you for taking time to review our request.</p>
* What is the permanent resident population of your Primary/First-Due Response Area or jurisdiction served?	21639
* Do you currently report to the National Fire Incident Reporting System (NFIRS)? Note: You will be required to report to NFIRS for the entire period of the grant.	Yes
If you answered "Yes" above, please enter your FDIN/FDID.	0012
Headquarters or Main Station Physical Address	
* Physical Address 1	455 Siskiyou Blvd
Physical Address 2	
* City	Ashland
* State	Oregon
* Zip	97520 - 2135
* Mailing Address 1	20 East Main St
Mailing Address 2	
* City	Ashland
* State	Oregon
* Zip	97520 - 1850
Bank Account information	
* The bank account being used is: (Please select one from right)	Maintained by my Jurisdiction
Note: The following banking information must match your SAM.gov profile.	
* Type of bank account	Checking
* Bank routing number - 9 digit number on the bottom left hand corner of your check	123006800
* Your account number	0092697283
Applicant Budget	
* For this current fiscal year (at the time of grant application), what is your organization's operating budget for programs that enhance the safety of the public and firefighters with respect to fire and fire-related hazards (including fire prevention, fire code enforcement, fire/arson investigation, wildfire prevention, and firefighter health and safety research and development)? Please include costs for personnel; maintenance of apparatus, equipment, and facilities; utility costs; purchasing expendable items, etc. Please answer this same question for the previous two fiscal years. Please indicate in the text box next to each of the budget figures what fiscal year that amount pertains to. If you are unable to provide the information, please enter 0	<p>7047762</p> <p>Budget: 7047762 Fiscal Year: 2017 Budget: 7047762 Fiscal Year: 2016</p>

into each budget field and use the narrative field below to provide an explanation.

* Financial Need: Please explain the applicant's need for financial assistance to carry out the proposed project(s). Provide detail about the applicant's total operating budget, including a high-level breakdown of the budget. Describe the applicant's inability to address financial needs without federal assistance. Discuss other actions the applicant has taken to meet their needs. Include information on efforts to obtain funding elsewhere and how similar projects have been funded in the past. (4000 characters).

Ashland Fire and Rescue (AF&R) is seeking a \$65,000 Community Risk Reduction grant to replace currently installed 5-inch FDC connections which are non-complaint with 2.5-inch Siamese FDC's to meet national standards. AF&R's operating budget is \$7,047,762, with 84% spent on personnel. Our budget is provided out of our City's General Fund and is broken into "Personnel Services" (salaries, benefits, retirement) and "Materials & Services" (equipment, maintenance, training). The vast majority of the City's revenue is generated by property taxes with a minor share from grants, licensing, and other fees for services. The Department itself contributes fees from ambulance transports, plan reviews, inspections & cost recovery into general fund, however, we do not receive those funds for our own use. We found ourselves in financial trouble after the "Oregon Tax Revolt" of the mid-90s. Ballot Measures 5, 47, and 50 capped property taxes at \$10.00 per \$1,000 of assessed valuation of the home, dropped assessments for tax purposes to 10% below their previous two-year level, capped future increases in assessed value to 3% per year and for a time, required double majorities to pass tax referendums. Then came the Housing Crisis of 2007 which devastated budgets statewide. During the slow recovery these measures allowed property values to sprint past our taxing authority, even as skyrocketing retirement and health insurance costs have dramatically increased the cost of doing business. These issues would give any city trouble when dealing with large purchases, but our problems do not end there. About 1/3 of our City's real estate is property tax exempt. Our small suburban town of about 6 1/2 square miles houses Southern Oregon University's entire campus, 1.18 square miles of City parks, the Ashland School District, 30 houses of worship, the 4-acre Oregon Shakespeare Festival theater complex and the Mark Hatfield Federal Wildlife Forensics Lab, in addition to smaller state and federal facilities. To combat these pressures Ashland built the Ashland Fiber Network, a public utility which provided internet and cable TV services and was intended to attract telecom businesses. The program did not work as planned. After seven years the City racked up \$15 million in debt, forcing it to sell the television division and tighten its belt in all other areas. This debt servicing continues to weigh down all City budgets, Fire included. By 2008 our Department was forced to take a 6.6% budget cut, lost funding for its Fire Inspector, cut its training budget in half, and left vacant two full-time Firefighter positions. In 2009 and 2010 we were hit with a 2% cap on budget increases as revenues continued to lag. Thankfully our fortunes have crept upwards along with the economy but the Department still struggles to provide adequate levels of service to our community and have replaced the two firefighter positions, but have left the inspector position vacant. As a City, Ashland has fallen behind the rest of Oregon in critical areas. All statistics are taken from the US Census Bureau's 2016 figures; our poverty rate (19.1%) is nearly 50% higher than the State at large (13.3%), per capita income is \$6,000 lower than the State mean, labor force participation lags behind the region and over 1/5 of our population is over 65, many of whom live on fixed incomes making it difficult to pass levies and bonds. We continue to face these issues through innovation; Self-insured healthcare, seeking grant opportunities, instituting fees, pursuing referendums on bonds/taxes and taking advantage of cost cutting measures wherever they can be found. Frustratingly, being able to pay \$65,000 to replace the decision made years ago to install 5-inch FDC's by the city is not attainable.

* In cases of demonstrated economic hardship, and upon the request of the recipient, the FEMA Administrator may waive or reduce the cost share and/or maintenance of effort requirement for certain recipients (15 U.S.C. § 2229(k)(4) (A)). The guidelines for determining what constitutes economic hardship are published at <https://www.fema.gov/media-library/assets/documents/158776>.

Is it your organization's intent to apply for an economic hardship waiver, if awarded an FP&S grant?

Note: A grant must be awarded and accepted before a hardship waiver can be submitted as an amendment request via the online eGrants system.

If yes, which type of waiver will you be applying for?

Additional Information

* This fiscal year, are you receiving Federal funding from any other grant program for the same purpose for which you are applying for this grant?

* Is the applicant [delinquent on any federal debt](#)?

* This fiscal year, are you receiving Federal funding from

No

No

No

No

<p>any other grant program regardless of purpose?</p>	
<p>* If awarded, will your organization expend more than \$750,000 in Federal funds during your organization's fiscal year? If "Yes", your organization will be required to undergo an A-133 audit. Reasonable costs incurred for an A-133 audit are an eligible expenditure and should be included in the applicant's proposed budget. Please enter audit costs only once under any "Additional Funding" in the "Request Details" section of the application.</p>	<p>No</p>
<p>If you answered "Yes" to any of the additional questions above, please provide an explanation in the space provided below. (4000 characters):</p>	
<p>N/A</p>	

Request Information

Program Name

Fire Prevention and Safety

FEMA Form 080-0-3

Request Details

The number of projects and cost for the activity **Fire Prevention and Safety** are listed in the table below.

Activity	Number of Projects	Total Cost	Action
Fire Prevention and Safety	1	\$ 65,018	View Details

FEMA Form 080-0-3a

Budget

Budget Object Class			
Budget Amount	First 12-Month Period	Second 12-Month Period	Total
Personnel	0	0	0
Benefits	0	0	0
Travel	0	0	0
Equipment	65,018	0	65,018
Supplies	0	0	0
Contractual	0	0	0
Construction	0	0	0
Other	0	0	0
Indirect Charges	0	0	0
Total	65,018	0	65,018

Indirect Cost Details (complete this section only if you have a Federally approved Indirect Cost Rate agreement). Please note you must add the Indirect Costs as a line item within the Request Details section as they are not automatically calculated.

Agency Indirect Cost Agreement with	
Indirect Cost Rate	%
Agreement Summary	
Total Federal and Applicant Share	
Federal Share	\$ 61,922
Applicant Share (Cash OR In-Kind)	\$ 3,096
Applicant Share of Award (%)	5

* Non-Federal Resources *(The combined Non-Federal Resources must equal the Applicant Share of \$ 3,096)*

a. Applicant	\$ 3,096
b. State	\$ 0
c. Local	\$ 0
d. Other Sources	\$ 0

If you entered a value in "Other Sources" other than zero (0), include your explanation below. You can use this space to provide information on the project, cost share match, or if you have an indirect cost agreement with a federal agency.

Total Budget	\$ 65,018
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Narrative Statement

The narrative for Fire Prevention and Safety is provided when adding Fire Prevention and Safety Projects under the **Request Details** section.

Assurances and Certifications

FEMA Form SF 424B

You must read and sign these assurances. These documents contain the Federal requirements attached to all Federal grants including the right of the Federal government to review the grant activity. You should read over the documents to become aware of the requirements. The Assurances and Certifications must be read, signed, and submitted as a part of the application.

Note: Fields marked with an * are required.

O.M.B Control Number 4040-0007

Assurances Non-Construction Programs

Note: Certain of these assurances may not be applicable to your project or program. If you have any questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. Section 4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. Sections 1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. Section 794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. Sections 6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Acts of 1968 (42 U.S.C. Section 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Title II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interest in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety

Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.

10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. Section 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. 470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. 469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. 2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. Section 4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.

Signed by **Mike D'Orazi** on **12/04/2018**

Form 20-16C**You must read and sign these assurances.**

Certifications Regarding Lobbying, Debarment, Suspension and Other Responsibility Matters and Drug-Free Workplace Requirements.

Note: Fields marked with an * are required.

O.M.B Control Number 1660-0025

Applicants should refer to the regulations cited below to determine the certification to which they are required to attest. Applicants should also review the instructions for certification included in the regulations before completing this form. Signature on this form provides for compliance with certification requirements under 44 CFR Part 18, "New Restrictions on Lobbying; and 44 CFR Part 17, "Government-wide Debarment and Suspension (Non-procurement) and Government-wide Requirements for Drug-Free Workplace (Grants)." The certifications shall be treated as a material representation of fact upon which reliance will be placed when FEMA determines to award the covered transaction, grant, or cooperative agreement.

1. Lobbying

A. As required by the section 1352, Title 31 of the US Code, and implemented at 44 CFR Part 18 for persons (entering) into a grant or cooperative agreement over \$100,000, as defined at 44CFR Part 18, the applicant certifies that:

- (a) No Federal appropriated funds have been paid or will be paid by or on behalf of the undersigned to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement and extension, continuation, renewal amendment or modification of any Federal grant or cooperative agreement.
- (b) If any other funds than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of congress, or an employee of a Member of Congress in connection with this Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities", in accordance with its instructions.
- (c) The undersigned shall require that the language of this certification be included in the award documents for all the sub awards at all tiers (including sub grants, contracts under grants and cooperative agreements and sub contract(s)) and that all sub recipients shall certify and disclose accordingly.

2. Debarment, Suspension and Other Responsibility Matters (Direct Recipient)

A. As required by Executive Order 12549, Debarment and Suspension, and implemented at 44CFR Part 67, for prospective participants in primary covered transactions, as defined at 44 CFR Part 17, Section 17.510-A, the applicant certifies that it and its principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of Federal benefits by a State or Federal court, or voluntarily excluded from covered transactions by any Federal department or agency.
- (b) Have not within a three-year period preceding this application been convicted of or had a civilian judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain or perform a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property.
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification: and
- (d) Have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause or default; and

B. Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.

3. Drug-Free Workplace (Grantees other than individuals)

As required by the Drug-Free Workplace Act of 1988, and implemented at 44CFR Part 17, Subpart F, for grantees, as defined at 44 CFR part 17, Sections 17.615 and 17.620:

(A) The applicant certifies that it will continue to provide a drug-free workplace by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

(b) Establishing an on-going drug free awareness program to inform employees about:

- (1) The dangers of drug abuse in the workplace;
- (2) The grantees policy of maintaining a drug-free workplace;
- (3) Any available drug counseling, rehabilitation and employee assistance programs; and
- (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

(c) Making it a requirement that each employee to be engaged in the performance of the grant to be given a copy of the statement required by paragraph (a);

(d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will:

- (1) Abide by the terms of the statement and
- (2) Notify the employee in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction.

(e) Notifying the agency, in writing within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to the applicable FEMA awarding office, i.e. regional office or FEMA office.

(f) Taking one of the following actions, against such an employee, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted:

- (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
- (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement or other appropriate agency.

(g) Making a good faith effort to continue to maintain a drug free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

(B) The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance				
Street	City	State	Zip	Action

If your place of performance is different from the physical address provided by you in the Applicant Information, press *Add Place of Performance* button above to ensure that the correct place of performance has been specified. You can add multiple addresses by repeating this process multiple times.

Section 17.630 of the regulations provide that a grantee that is a State may elect to make one certification in each Federal fiscal year. A copy of which should be included with each application for FEMA funding. States and State agencies may elect to use a Statewide certification.

Signed by Mike D'Orazi on 12/04/2018

FEMA Standard Form LLL

Only complete if applying for a grant for more than \$100,000 and have lobbying activities. See Form 20-16C for lobbying activities definition.